

## **General Terms & Condition – BOBCAPS**

### **Pre-Defined Preference & its Acceptance**

- I/ We wish to receive Contract Note and other related reports/ statements/ documents in electronic mode
- I/ We wish to avail of the facility of internet trading/ wireless technology
- I/ We instruct the DP to receive each and every credit in my/ our account
- I/ We would like to instruct the DP to accept all the pledge instructions in my/ our account without any other further instruction from my/our end
- I/ We would like to share the email ID with the RTA
- I/ We would wish to receive dividend/ interest directly into bank account through ECS
- I/ We would wish to receive Annual Report through electronic mode
- I/ We would raise the request for DIS Slip whenever required
- I/ We would like to receive Demat statement and annual report on electronic mode
- I/ We hereby authorize to communicate with me/us through telephone/ mobile, Email, SMS or other modes of communication
- I/ We would like to opt for quarterly mode of running account settlement
- I/ We would like to opt out from Basic Service Demat Account (BSDA)
- I am not United States (US) person

### **General Declaration**

1. I/We hereby declare that the details furnished here are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s), 'Risk Disclosure Document', Guidance Note.
4. I/We hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any

### **Declaration of Mobile & Email**

- I hereby declare that the mobile & email given here belongs to me and remains my personal, true and correct detail
- Please note that by submitting the above-mentioned details, you are authorizing BOBCaps & its authorized persons and subsidiary to call and send promotional communication even though you may be registered under DND.

### **Declaration for Address**

- I hereby declare that the address as per my Aadhaar is updated & it is my current, permanent, as well as correspondence address and the said information is true to my knowledge

#### **Other Declaration & disclosure – for**

- There are No or any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the myself or applicant / constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 5 years
- I/We hereby declare that, we are not currently Dealing through any Authorized Partner and any Other Stock Broker
- I/We hereby declare that as a client, nobody has introduced me to BOBCAPS
- I/We hereby declare that, we wish to receive contract notes via Electronic medium only and I/We hereby OPT Out from receiving the PHYSICAL Contract Note
- I/We hereby declare that, we are OPTING FOR Online Trading facility & Trading through internet/wireless technology
- I/We hereby declare that, I/We wish to OPT for SMS and EMAIL Alert from BOBCAPS & It's parent or other group companies
- I/We hereby declare that, I/We wish to OPT for receiving Rights & Obligations via Electronic Mode/Medium only
- I/We hereby declare that, I/We wish to OPT for receiving Risk Disclosure Document via Electronic Mode/Medium only
- I/We hereby declare that, I/We wish to OPT for receiving Policy & Procedure via Electronic Mode/Medium only
- I/We hereby declare that, I/We wish to OPT for receiving Guidance Note via Electronic Mode/Medium only
- I/We hereby declare that, I/We wish to OPT for Running Account Authorization [as per terms & conditions mentioned herein) either Monthly or Quarterly, as opted by me
- \*\* Platform Fee Collected is applicable for 1yr only and shall be applicable every year

#### **Declaration for Name Mismatch**

- This is to bring to your notice that my name is spelt differently in my Identity proof, Address proof and Bank proof.
- I hereby confirm that all the said names belong to me. I hereby state and confirm that what is stated above is, true and correct information. I agree to indemnify and keep you indemnified at all times from and against all, costs, charges, damages, penalties (including reasonable attorney fees) suffered and/or incurred by you for any act done or omitted to be done on the above declaration

#### **Consent for Aadhaar based KYC & eSign**

- Consent for Aadhaar based KYC & eSign I am desirous of having account-based relationship with BOB CAPITAL MARKETS LTD (All rights Reserved) (SEBI Registered Intermediary / MF Distributor) and I have approached them opening my Trading / Demat / MF account and performing necessary KYC in this regard. I would like to perform Aadhaar based KYC and submit other account opening details online as per regulatory approval in this regard. Accordingly, I provide my voluntary, well informed consent to BOB CAPITAL MARKETS LTD and its affiliate service provider for facilitating me to use my Aadhaar record to perform online KYC and using / storing / maintaining / sharing my Aadhaar record.
- I understand/agree to do eKYC using my Aadhaar details for issuing eSign for me through NSDL eGovernance Infrastructure Limited or our affiliated third-party service provider.

**I/We hereby declare that, we have read/understood the above Overall Terms & Condition & will review the further in detailed and elaborated Terms & Condition before proceeding with the eSign**

**of Account Opening Form. This document is generated online and does not require separate Signature or eSign from the Primary or Any Account Holder**

**STANDARD DISCLAIMER**

Registered Office - 1704, B Wing, 17th Floor, Parinee Crescenzo, Plot no.- 38/39, G Block, Bandra Kurla Complex, Bandra(East), Mumbai - 400051 | Compliance Officer - Kavita Ravichandran | Email - [compliance@bobcaps.in](mailto:compliance@bobcaps.in) | SEBI Registration No - INZ000159332 | Investments in securities market are subject to market risks, read all the related documents carefully | Brokerage will not exceed SEBI prescribed limit | Any dispute related to the same will not be dealt at exchange platform.