

<u>General Terms & Condition – BOB Capital Markets Ltd.</u>

Pre-Defined Preference & its Acceptance

- I/ We wish to receive Contract Note and other related reports/ statements/ documents in electronic mode
- I/ We wish to avail of the facility of internet trading/ wireless technology
- I/ We instruct the DP to receive each and every credit in my/ our account
- I/ We would like to instruct the DP to accept all the pledge instructions in my/ our account
- without any other further instruction from my/our end
- I/ We would like to share the email ID with the RTA
- I/ We would wish to receive dividend/ interest directly into bank account through ECS
- I/ We would wish to receive Annual Report through electronic mode
- I/ We give our consent to open the Demat Account Online without DIS SLIP and confirm that we do not wish to receive PHYSICAL DIS SLIP
- I/ We would like to receive Demat statement and annual report on electronic mode
- I/ We hereby authorize to communicate with me/us through telephone/ mobile, Email, SMS or other modes of communication
- I/ We would like to opt for quarterly mode of running account settlement
- I/ We would like to opt out from Basic Service Demat Account (BSDA)
- I am not a United States (US) person nor an NRI

General Declaration

- I/We hereby declare that the details furnished here are true and correct to the best of
 my/our knowledge and belief and I/we undertake to inform you of any changes therein,
 immediately. In case any of the above information is found to be false or untrue or
 misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
- 2. I/We confirm having read/been and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
- 3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s), 'Risk Disclosure Document', Guidance Note.
- 4. I/We hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any

Declaration of Mobile & Email

- I hereby declare that the mobile & email given here belongs to me and remains my personal, true and correct detail
- Please note that by submitting the above-mentioned details, you are authorizing BOB Capital Markets Ltd. & it's authorized persons and subsidiary to call and send promotional communication even though you may be registered under DND.

Declaration for Address

• I hereby declare that the address as per my Aadhaar is updated & it is my current, permanent, as well as correspondence address and the said information is true to my knowledge

Other Declaration & disclosure - for

- There are No or any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the myself or applicant / constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 5 years
- I/We hereby declare that, we are currently NOT Dealing through any Authorized Partner and any Other Stock Broker
- I/We hereby declare that as a client, nobody has introduced me to BOB CAPITAL MARKETS
- I/We hereby declare that, we wish to receive contract notes via electronic medium only and give our consent to OPT Out from receiving the PHYSICAL Contract Note
- I/We hereby declare that, we are OPTING FOR Online Trading facility & Trading through internet/wireless technology
- I/We hereby declare that, I/We wish to OPT for SMS and EMAIL Alert from BOB CAPITAL MARKETS LTD. & It's parent or other group companies
- I/We hereby declare that, I/We wish to OPT for receiving Rights & Obligations via Electronic Mode/Medium only and understand that it's part of my Account Opening Form
- I/We hereby declare that, I/We wish to OPT for receiving Risk Disclosure Document via Electronic Mode/Medium only and understand that it's part of my Account Opening Form
- I/We hereby declare that, I/We wish to OPT for receiving Policy & Procedure via Electronic Mode/Medium only and understand that it's part of my Account Opening Form
- I/We hereby declare that, I/We wish to OPT for receiving Guidance Note via Electronic Mode/Medium only and understand that it's part of my Account Opening Form
- I/We hereby declare that, I/We wish to OPT for Running Account Authorization (as per terms & conditions mentioned herein) either Monthly or Quarterly, as opted by me
- ** Platform Fee Collected under specific brokerage plan for is applicable for 1year only and shall be applicable every year

Declaration for Name Mismatch

- This is to bring to your notice that my name is spelt differently in my Identity proof, Address proof and Bank proof.
- I hereby confirm that all the said names belong to me. I hereby state and confirm that what is stated above is, true and correct information. I agree to indemnify and keep you indemnified at all times from and against all, costs, charges, damages, penalties (including reasonable attorney fees) suffered and/or incurred by you for any act done or omitted to be done on the above declaration

Consent for Aadhaar based KYC & eSign

- Consent for Aadhaar based KYC & eSignI am desirous of having account-based relationship with BOB CAPITAL MARKETS LTD (All rights Reserved) (SEBI Registered Intermediary / MF Distributor) and I have approached them opening my Trading / Demat / MF account and performing necessary KYC in this regard. I would like to perform Aadhaar based KYC and submit other account opening details online as per regulatory approval in this regard. Accordingly, I provide my voluntary, well-informed consent to BOB CAPITAL MARKETS LTD and its affiliate service provider for facilitating me to use my Aadhaar record to perform online KYC and using / storing / maintaining / sharing my Aadhaar record.
- I understand/agree to do eKYC using my Aadhaar details for issuing eSign for me through NSDL eGovernance Infrastructure Limited or our affiliated third-party service provider.
- I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein,

immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it. I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address. I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

Declaration for Demat Debit & Pledge Instruction and Bank Mandate (voluntary documents)

 I/We hereby declare that, I/We wish to OPT for DDPI and Bank Mandate, as part of Online Account Opening

I/We hereby declare that, we have read and understood the above and all the Terms & Condition

& will review the further in detailed and elaborated Terms & Condition before proceeding with

the eSign of Account Opening Form. This document is generated online and does not require

separate Signature or eSign from the Primary or Any Account Holder

STANDARD DISCLAIMER

Registered Office - 1704, B Wing, 17th Floor, Parinee Crescenzo, Plot no.- 38/39, G Block, Bandra Kurla Complex, Bandra(East), Mumbai - 400051 | Compliance Officer - Kavita Ravichandran | Email - compliance@BOB Capital Markets Ltd..in | SEBI Registration No - INZ000159332 | Investments in securities market are subject to market risks, read all the related documents carefully | Brokerage will not exceed SEBI prescribed limit | Any dispute related to the same will not be dealt at exchange platform.